

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM D NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D, SECTION 4(6), AND/OR UNIFORM LIMITED OFFERING EXEMPTION

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Name of Offering (check if this is an amendment and name has changed, and indicate change.)
Convertible Note Financing
Filing Under (Check box(es) that apply): Rule 504 Rule 505 Rule 506 Section 4(6) ULOE
Type of Filing: New Filing Amendment
A. BASIC IDENTIFICATION DATA
1. Enter the information requested about the issuer.
Name of Issuer (check if this is an amendment and name has changed, and indicate change.) Avvenu, Inc. 07077606
Address of Executive Offices (Number and Street, City, State, Zip Code) 410 Cambridge Ave., 2 nd Floor, Palo Alto, CA 94301 Telephone Number (Including Area Code) (650) 330-1303
Address of Principal Business Operations (Number and Street, City, State, Zip Code) Telephone Number (Including Area Code)
Brief Description of Business Development of internet network software.
Type of Business Organization
☐ corporation ☐ limited partnership, already formed ☐ other (please specify): ☐ THOM/Society
business trust limited partnership, to be formed
Actual or Estimated Date of Incorporation or Organization: Month Year

GENERAL INSTRUCTIONS

Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where To File. U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix in the notice constitutes a part of this notice and must be completed.

ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

SEC 1972 (6-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

	A. BASIC IDENT	IFICATION DATA		
 2. Enter the information requested for the Each promoter of the issuer, if the issue Each beneficial owner having the powe Each executive officer and director of of Each general and managing partner of 	er has been organized within the per to vote or dispose, or direct the corporate issuers and of corporate	vote or disposition of, 10% or	-	-
Check Box(es) that Apply:	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, if individual) Barraclough, Keith				<u></u>
Business or Residence Address (Number and	Street, City, State, Zip Code)		
410 Cambridge Ave., 2nd Floor, Palo Alto,	CA 94301			
Check Box(es) that Apply: Promoter	Beneficial Owner	Executive Officer	Director	☐ General and/or Managing Partner
Full Name (Last name first, if individual)				
Tai, William	10 0. 0 7. 0. 1.			
Business or Residence Address (Number and)		
2800 Sand Hill Road, Suite 150, Menlo Pa	_	☐ Executive Officer	⊠ Dimenter	General and/or
Check Box(es) that Apply: Promoter	☐ Beneficial Owner	Executive Officer	☑ Director	☐ General and/or Managing Partner
Full Name (Last name first, if individual)				
Bolton, George	·····			
5Business or Residence Address (Number ar	• • • • • • • • • • • • • • • • • • • •	•		
One Market Street, Spear Tower Suite 18				
Check Box(es) that Apply: Promoter	Beneficial Owner	Executive Officer	☑ Director	☐ General and/or Managing Partner
Full Name (Last name first, if individual)				
Weingarten, Tim				
Business or Residence Address (Number and	Street, City, State, Zip Code)		
435 Tasso Street, Suite 120, Palo Alto, CA	94301			
Check Box(es) that Apply: Promoter	☐ Beneficial Owner	Executive Officer	☐ Director	General and/or Managing Partner
Full Name (Last name first, if individual)				
Guenther, Morgan				
Business or Residence Address (Number and)		
1001 Bridgeway, Ste. 219, Sausalito, CA 9				
Check Box(es) that Apply: Promoter	☐ Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, if individual)				
Charles River Partnership XI, L.P.	·-··			
Business or Residence Address (Number and)		
1000 Winter Street, Suite 3300, Waltham,				
Check Box(es) that Apply: Promoter	☑ Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, if individual)				
Worldview Technology Partners IV, L.P.				
Business or Residence Address (Number and)		
435 Tasso Street, Suite 120, Palo Alto, CA				
Check Box(es) that Apply: Promoter	☑ Beneficial Owner	Executive Officer	☐ Director	General and/or Managing Partner
Full Name (Last name first, if individual) Motorola, Inc.				
Business or Residence Address (Number and	Street, City State, Zin Code			
Motorola Ventures c/o Motorola, Inc. 130				
	lank sheet, or copy and use ac	•	t, as necessary)	

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<u> </u>	A. BASIC IDENT	IFICATION DATA		
Enter the information requested for the Each promoter of the issuer, if the issue Each beneficial owner having the power	er has been organized within the per to vote or dispose, or direct the	vote or disposition of, 10% or		
Each executive officer and director of a contract of the		e general and managing partners	of partnership issue	ers; and
• Each general and managing partner of Check Box(es) that Apply: Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, if individual)		** ****		
Cheston, Janet				
Business or Residence Address (Number and)		
410 Cambridge Ave., 2nd Floor, Palo Alto,		<u> </u>		
Check Box(es) that Apply: Promoter	☑ Beneficial Owner	Executive Officer	☐ Director	General and/or Managing Partner
Full Name (Last name first, if individual)				
Trescot, David	1 Second City, State 71- Code	Α		
Business or Residence Address (Number and 410 Cambridge Ave., 2 nd Floor, Palo Alto,		<i>)</i>		
Check Box(es) that Apply: Promoter	Beneficial Owner	☑ Executive Officer	Director	General and/or
Quach, Richard	Solichelar Owner	ZA ZACCUITO OTHER		Managing Partner
Full Name (Last name first, if individual)	· - · · · - · · · · · · · · · · · · · ·		· · ·	<u> </u>
410 Cambridge Ave., 2nd Floor, Palo Alto,	CA 94301			
Business or Residence Address (Number and	Street, City, State, Zip Code)		
Check Box(es) that Apply: Promoter French, Richard	☐ Beneficial Owner		☑ Director	General and/or Managing Partner
Full Name (Last name first, if individual)				
410 Cambridge Ave., 2 ^{od} Floor, Palo Alto,	CA 94301			
Business or Residence Address (Number and	Street, City, State, Zip Code	;)		
Check Box(es) that Apply:	Beneficial Owner	Executive Officer	Director	☐ General and/or Managing Partner
Full Name (Last name first, if individual)				
Business or Residence Address (Number and	Street, City, State, Zip Code	()		
Check Box(es) that Apply:	☐ Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, if individual)				· · ·
Business or Residence Address (Number and	l Street, City, State, Zip Code)		
Check Box(es) that Apply:	☐ Beneficial Owner	☐ Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, if individual)				
Business or Residence Address (Number and	Street, City, State, Zip Code	;)		
Check Box(es) that Apply: Promoter	☐ Beneficial Owner	☐ Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first, if individual)				
Business or Residence Address (Number and	1 Street, City, State, Zip Code	2)		
(Use b	lank sheet, or copy and use a	dditional copies of this shee	t, as necessary)	

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					В. П	NFORMAT	TION ABO	UT OFFE	RING				
Has the issuer sold, or does the issuer intend to sell, to non-accredited investors in this offering? Answer also in Appendix, Column 2, if filing under ULOE.								Yes □	No ⊠				
2.	What i	is the minim	um investm	ent that wil	l be accept	ed from any	individual	?	,			N/A	
3.	Does t	he offering p	ermit joint	ownership	of a single	unit?			•••••	•••••		Yes	No ⊠
4.	commi offerin	the informatission or sing. If a personate or states or states of such a box.	milar remu on to be list tes, list the	neration fo ted is an ass name of the	r solicitati ociated pe e broker or	on of purch rson or ager dealer. If	hasers in c it of a brok more than	onnection er or dealer five (5) per	with sales registered rsons to be	of securities with the SE listed are a	es in the EC and/or		
Full	Name	(Last name f	irst, if indiv	vidual)									
Busi	iness or	Residence A	Address (N	umber and S	Street, City	, State, Zip	Code)						
Nam	ne of A	ssociated Bro	oker or Dea	ller									
State	es in W	hich Person	Listed Has	Solicited or	Intends to	Solicit Pur	chasers						
(0	Check "	'All States" o	or check inc	lividuals St	ates)					•••••••	• • • • • • • • • • • • • • • • • • • •	🔲 A	ll States
	[AL]	[AK]	[AZ]	[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	[HI]	[ID]
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· ·	[MT]	[NE]	[NV]	(NH)	[IN]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]
	[RI]	[SC]	[SD]	[TN]	[TX]	(UT)	[VT]	[VA]	[WA]	[WV]	(WI)	[WY]	[PR]
Full	Name	(Last name f	irst, if indiv	/idual)									
Busi	iness or	Residence A	Address (N	umber and S	Street, City	, State, Zip	Code)						
Nan	ne of A	ssociated Bro	oker or Dea	ler				,					
State	es in W	hich Person	Listed Has	Solicited or	Intends to	Solicit Pur	chasers						
((Check "	All States" of	or check inc	lividuals St	ates)							🛚 А	ll States
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	[RI]	[SC]	[SD]	[TN]	[TX]	[ניני]	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[PR]
Full	Name	(Last name f	īrst, if indiv	vidual)									
Busi	iness or	Residence A	Address (N	umber and S	Street, City	, State, Zip	Code)						
Nam	ne of A	ssociated Bro	oker or Dea	ler				-		•			
State	es in W	hich Person	Listed Has	Solicited or	Intends to	Solicit Pur	chasers		•				
(0	Check "	All States"	or check inc	lividuals St	ates)							🗆 А	Il States
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١	[MT]	[NE]	[NV]	[NH]	[NJ]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]
[[RI]	[SC]	[SD]	[TN]	[TX]	[UT]	[TV]	[VA]	[WA]	[WV]	[WI]	[WY]	[PR]

(Use blank sheet, or copy and use additional copies of this sheet, as necessary)

	C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF FRO	JCEEDS	
1.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box \(\square\$\) and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.	Aggregate	Amount Already
	Type of Security	Offering Price	Sold
	Debt	\$190,000.00	\$190,000.00
	Equity	\$0	\$0
	☐ Common ☐ Preferred		
	Convertible Securities (including warrants)	\$0	\$0
	Partnership Interests	\$0	\$0
	Other (Specify)	\$0	\$0
	Total	\$	\$
	Answer also in Appendix, Column 3, if filing under ULOE.		
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines Enter "0" if answer is "none" or "zero."	f s. Number Investors	Aggregate Dollar Amount of Purchase
	Accredited Investors	10	\$190,000.00
	Non-accredited Investors	0	\$0
	Total (for filings under Rule 504 only)		\$
	Answer also in Appendix, Column 4, if filing under ULOE.		
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sole by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C — Question 1.	d f Type of	Dollar Amount
	Type of Offering	Security	Sold
	Rule 505		\$
	Regulation A		\$
	Rule 504		\$
	Total		<u> </u>
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the insurer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish a estimate and check the box to the left of the estimate.	у	
	Transfer Agent's Fees		\$
	Printing and Engraving Costs		\$
	Legal Fees	⊠	\$5,000.00
	Accounting Fees.		<u>\$</u>
	Engineering Fees.		\$
	Sales Commissions (specify finders' fees separately)		\$
	Other Expenses (identify)		\$
	Total		\$5,000.00

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5.	total expenses furnished in response	gregate offering pric	- alven in manages to D-→ C		
	-	to Part C Questi	e given in response to Part C — Question 1 on 4.a. This difference is the "adjusted g	ross	\$185,000.00
	of the purposes shown. If the amount	for any purpose is no of the payments liste	the issuer used or proposed to be used for each known, furnish an estimate and check the dimust equal the adjusted gross proceeds to	box	
					ents to directors & Payments to iates Others
	Salaries and fees	•••••		□ \$0	□ \$0
	Purchase of real estate			□ \$0	□ \$0
	Purchase, rental or leasing and installat	ion of machinery and	equipment	□ \$0	□ \$ 0
	Construction or leasing of plant building	gs and facilities		□ \$0	□ \$0
			rities involved in this offering that may	—	-
	•		suer pursuant to a merger)		□ \$ 0
	• •				□ \$
				፟ \$185	,000.00 🔲 \$
	Other (specify):				
				□ \$0	□ \$0
	Column Totals			□ \$	□ \$ 0
	Total Payments Listed (column totals a	dded)			⊠ \$ 185,000.00
.A	Communication of the second se	u area escreta a de escado Mestr	and the state of t	15 - Analysis States	Daniel Solita de Milio Redollie de la 1920 de 1
¥r£.	。 (1)	Property Division Div	TEDERAL SIGNATURE	A CONTRACTOR	がは、一般には、一般には、
The	issuer has duly caused this notice to l	be signed by the under	ersigned duly authorized person. If this not he U.S. Securities and Exchange Commissi	ice is filed ur	nder Rule 505, the following
			tor pursuant to paragraph (b)(2) of Rule 502.	on, upon wr	itten request of its starr, the
Isst	er (Print or Type)	Signature	May a L	I	Date
Αv	venu, Inc.		WHAR)		October 1, 2007
Nar	ne of Signer (Print or Type)	Title or Sign	ler (Print or Type)		
	chard French	Chief Exe	cutive Officer		

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18. U.S.C. 1001.)

APPENDIX

1		2	3			4			5	
	non-ac- inves	to sell to credited tors in ate -Item 1)	Type of security and aggregate offering price offered in state (Part C-Item 1)		Type of investor and amount purchased in State (Part C-Item 2)			Disqualification under State ULOE (if yes, attach explanation of waiver granted) (Part E-Item 1)		
State	Yes	No		Number of Accredited Investors	Amount	Number of Non-Accredited Investors	Amount	Yes	No	
AL							-			
AK										
ΑZ										
AR										
CA		Х	Convertible note	6	\$126,090.92				Х	
CO										
СТ								:		
DE										
DC									:	
FL										
GA	<u> </u>									
HI										
ID										
IL		X	Convertible note	1	\$15,545.45				X	
IN	ļ									
IA	<u> </u>				ļ				<u> </u>	
KS	<u></u>								 	
KY									 	
LA	-									
ME	ļ									
MD		X	Convertible note	3	\$48,363.63				X	
MA		A	Convertible note	,	\$46,505.05				<u> </u>	
MI	 									
MN								<u> </u>		
MS									 	
MO									 	
MT	-								+	
NE									-	
NV		<u> </u>					<u> </u>	<u>l</u>	1	

APPENDIX

1		2	3			5			
	Intend to non-acc invest Sta	to sell to credited fors in ate -Item 1)	Type of security and aggregate offering price offered in state (Part C-Item 1)		Type of i amount pur (Part		Disqualif under S ULC (if yes, a explanat waiver g (Part E-I		
State	Yes	No		Number of Accredited Investors	Amount	Number of Non-Accredited Investors	Amount	Yes	No
NH									
NJ		_							
NM									
NY								<u> </u>	
NC								T	
ND									
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